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# Communications Network Design

## lecture 17

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This lecture continues the discussion of tree-like networks, in particular presenting algorithms for solving more complex tree-like network designs (Gomory-Hu and Gusfield's methods), using cut-sets.

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# Advanced tree-like network design

Tree-like networks, and some more advanced algorithms. Starting with cutsets we get **Gomory-Hu** and **Gusfield's** methods.

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# Tree-like networks

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The problems can be bit more complicated

- ▶ in cable TV network, no congestion cost, as content is replicated
- ▶ in Ethernet, congestion is arbitrarily delt with using weights that depend on bandwidth
- ▶ in some networks we may have to deal with load based costs

# Costs

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Take a general linear cost model  $C(\mathbf{f}) = \sum_{e \in L} (\alpha_e f_e + \beta_e)$

- ▶ last lecture we considered the **minimum weight spanning tree (MWST)** which has  $\alpha_e = 0$ , so

$$C(\mathbf{f}) = \sum_{e \in T} \beta_e$$

- ▶ today, we consider the case  $\beta_e = 0$ , so

$$C(\mathbf{f}) = \sum_{e \in T} \alpha_e f_e$$

- ▶ unfortunately, this is NP-complete

## Methods of attack

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- ▶ enumeration impractical (too many trees)
- ▶ use standard trick from before

$$C(\mathbf{f}) = \sum_{e \in T} \alpha_e f_e = \sum_{[p,q] \in K} l_{pq}(T) t_{pq}$$

- ▶ use a new idea, based on cutsets

## Cutsets

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Take a graph  $G(N, E)$ , then  $X, \bar{X}$  is a partition of the nodes  $N$ , if

$$\bar{X} = N \setminus X$$

that is

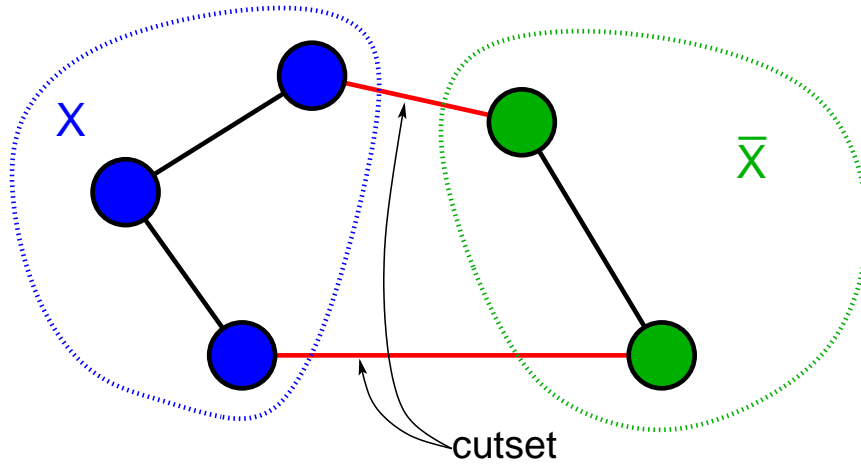
$$X \cup \bar{X} = N$$

$$X \cap \bar{X} = \phi$$

**Definition:** A cutset  $(X, \bar{X})$  of  $G(N, E)$  is the set of links

$$(X, \bar{X}) = \{(i, j) \mid i \in X, j \in \bar{X}\}$$

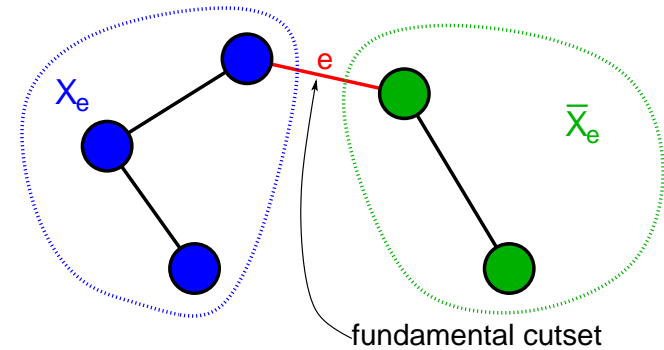
## Cutset example



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## Fundamental Cutset

- ▶ Suppose a cutset contains a single link  $e \in E$
- ▶ if the link  $e$  is deleted from  $T$ , then  $T$  will be disconnected into two subtrees  $X_e$  and  $\bar{X}_e$
- ▶ the cutset  $(X_e, \bar{X}_e)$  is called a **fundamental cutset**



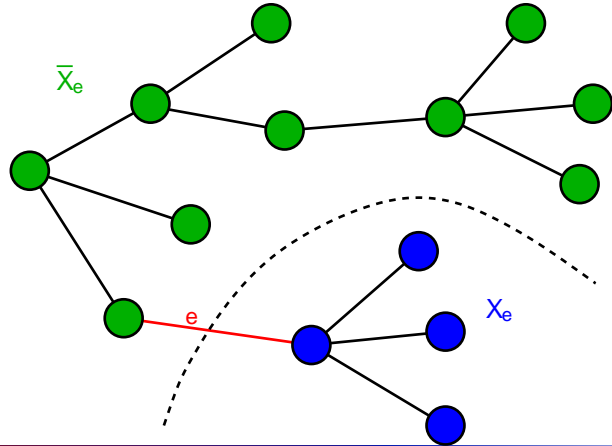
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# Fundamental Cutset

- ▶ for a tree  $T$  with  $n-1$  links, there are  $n-1$  fundamental cutsets
  - ▷ cutting any link makes network disconnected



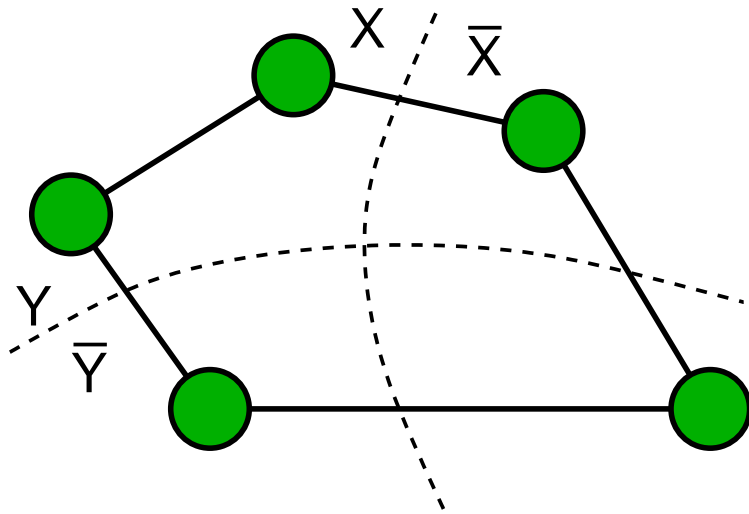
# Non-crossing cutsets

**Definition:** Cutsets  $(X, \bar{X})$  and  $(Y, \bar{Y})$  are said to be **crossing** if

$$X \cap Y \neq \emptyset, \quad X \cap \bar{Y} \neq \emptyset, \quad \bar{X} \cap Y \neq \emptyset, \quad \text{and} \quad \bar{X} \cap \bar{Y} \neq \emptyset$$

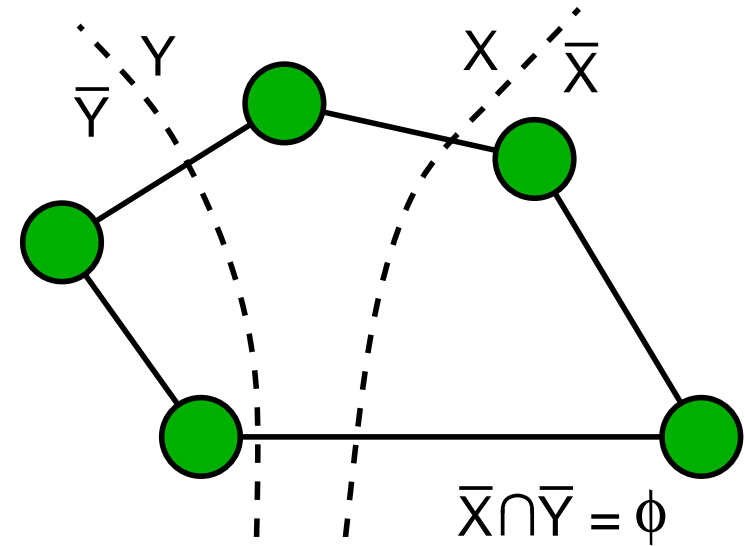
**Definition:** Cutsets  $(X, \bar{X})$  and  $(Y, \bar{Y})$  are said to be **non-crossing** if at least one of the above intersections is empty.

## Crossing cutsets examples



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## Non-crossing cutsets examples



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# Non-crossing cutsets and trees

- ▶ Fundamental cutsets are non-crossing!
  - ▷ so a tree has at least  $n - 1$  non-crossing cutsets
- ▶ also, suppose  $(X_e, \bar{X}_e)$  is a fundamental cutset
  - ▷ if the O-D pair has  $p \in X_e$  and  $q \in \bar{X}_e$
  - ▷ all traffic  $t_{pq}$  must pass through  $e$
  - ▷  $(X_e, \bar{X}_e)$  is said to **separate**  $p$  and  $q$
  - ▷ the traffic on link  $e$  will be

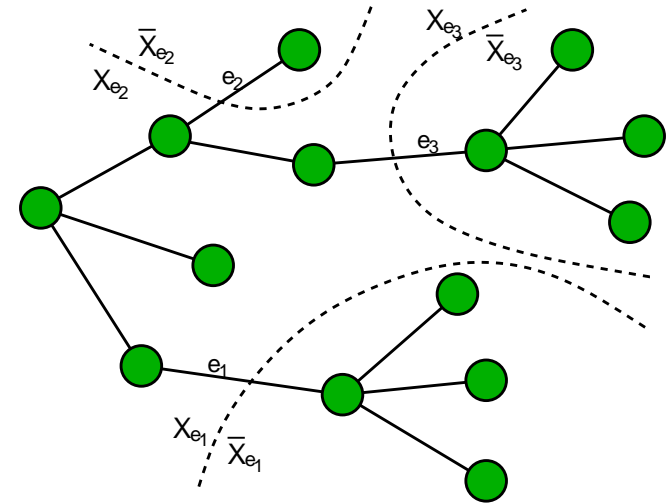
$$f_e = \sum_{p \in X_e} \sum_{q \in \bar{X}_e} t_{pq} := t(X_e, \bar{X}_e)$$

i.e., the traffic between sets  $X_e$  and  $\bar{X}_e$  is  $t(X_e, \bar{X}_e)$

- ▶ network cost will be

$$C(\mathbf{f}) = \sum_{e \in T} \alpha_e f_e = \sum_{e \in T} \alpha_e t(X_e, \bar{X}_e)$$

# Cutsets and trees example



e.g.  $\bar{X}_{e_1} \cap \bar{X}_{e_2} = \bar{X}_{e_2} \cap \bar{X}_{e_3} = \bar{X}_{e_3} \cap \bar{X}_{e_1} = \phi$

## Min-hop tree

- ▶ we will simplify to the case where

$$\alpha_e = 1, \quad \forall e \in E$$

$$C(\mathbf{f}) = \sum_{e \in T} f_e = \sum_{[p,q] \in K} \hat{l}_{pq}(T) t_{pq} = \sum_{e \in T} t(X_e, \bar{X}_e)$$

- ▶ equivalent to minimizing hop count  $\hat{l}_\mu(T) = \sum_{e: e \in \mu} 1$ 
  - ▷ implicitly assumes **processing** time for a packet at a node dominates performance.
- ▶ result is called a **min hop tree**
  - ▷ also called a *Gomory-Hu tree* (we see why below)
- ▶ can be found in  $O(|N|^2|E|)$  time, which is polynomial

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## Gomory-Hu Method

**Objective:** given a graph  $G(N, E)$ , and predicted traffic  $t_{pq}$ , find a min hop tree.

**Principle:** find a set of  $n - 1$  non-crossing cutsets that minimize  $t(X_e, \bar{X}_e)$  at each step.

- ▶ another greedy algorithm
  - ▷ choose the best cutset at each stage
  - ▷ however, it does not reach the optimum
- ▶  $n - 1$  non-crossing cutsets define our tree, e.g.
  - ▷ **Lemma:** A spanning tree with  $n - 1$  links corresponds uniquely to a set of  $n - 1$  non-crossing cutsets.
  - ▷ the links occurring in exactly one cutset form a spanning tree  $T$ .

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## Lemma proof

**Proof:** ( $\Rightarrow$ ) Given  $T$ , removing any link  $e \in T$  disconnects the network into  $T_e$  and  $\bar{T}_e$ , and so corresponds to a fundamental cutset  $(T_e, \bar{T}_e)$ . Now we can do the same with  $T_e$ , or  $\bar{T}_e$ . Imagine we partition  $T_e$  with cutset  $(T_g, \bar{T}_g)$ , then  $T_g \subset T_e$ , and so  $T_g \cap \bar{T}_e = \phi$ , and so these are non-crossing cutsets. Repeat recursively, until, after removing  $n - 1$  links, we will have  $n - 1$  non-crossing cutsets.

## Gomory-Hu Algorithm

- ▶ **Initialize:**  $\mathcal{F} = \phi$  is a list of non-crossing cutsets.
- ▶ **While:** at least one pair of nodes  $p$  and  $q$  are not yet separated by a cutset in  $\mathcal{F}$ .
  1. select a pair of nodes  $p, q \in N$  not yet separated by a cutset in  $\mathcal{F}$
  2. find a cutset  $(X_{pq}, \bar{X}_{pq})$  that
    - ▷ minimizes  $t(X, \bar{X})$  subject to
    - ▷  $(X, \bar{X})$  separates  $p$  and  $q$
    - ▷  $(X, \bar{X})$  does not cross any cutset in  $\mathcal{F}$
  3. put  $\mathcal{F} \leftarrow \mathcal{F} \cup \{(X_{pq}, \bar{X}_{pq})\}$ , and record  $t(X_{pq}, \bar{X}_{pq})$
- ▶ **Terminate:** Determine the set of links contained in exactly one cutset — these links form  $T$ .

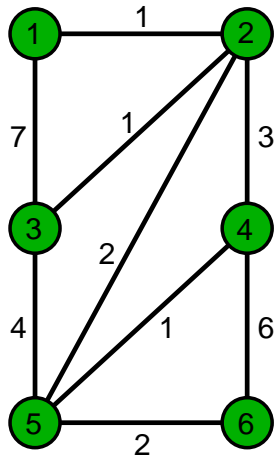
## Lemma proof (continued)

**Proof:** ( $\Leftarrow$ )  
Suppose we have a set of  $(n - 1)$  non-crossing cutsets,  $\{F_1, F_2, \dots, F_{n-1}\}$ . Construct a spanning tree  $T$  as follows. Consider the cut  $F_1 = (X_1, \bar{X}_1)$ . Draw two supernodes, one corresponding to the set of nodes in  $X_1$ , and the other to those in  $\bar{X}_1$ ; connect by a link. This creates a link in the spanning tree. Now consider the next cut,  $F_2 = (X_2, \bar{X}_2)$ . Since  $F_2$  does not cross  $F_1$ , we have  $X_2 \subset X_1$  and  $\bar{X}_1 \subset \bar{X}_2$ , (or we have  $X_1 \subset X_2$  and  $\bar{X}_2 \subset \bar{X}_1$ ). Then we can create a tree with three supernodes,  $X_2$ ,  $X_1 - X_2$ , and  $\bar{X}_1$ , and two links in a spanning tree. Continue in this manner for all  $n - 1$  cutsets  $F_i$ , to get the  $(n - 1)$  links in  $T$ .

□

# Gomory-Hu Example

The traffic  $t_{pq}$   
(zero entries not shown)

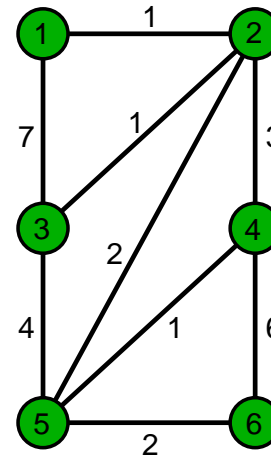


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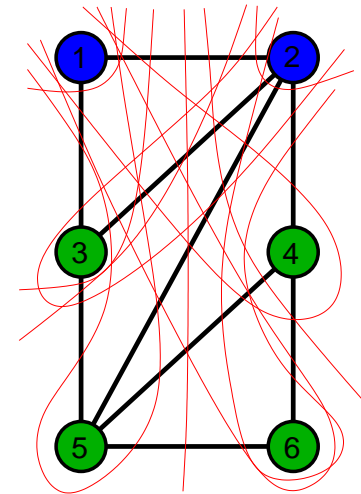
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# Gomory-Hu Example

The traffic  $t_{pq}$   
(zero entries not shown)



The possible cutsets  
( $X_{12}, \bar{X}_{12}$ )



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# Gomory-Hu Example

A list of the possible cutsets separating nodes 1 and 2

$$X_{12} = \{1\} \{1,3\} \{1,4\} \{1,5\} \{1,6\} \{1,3,4\} \{1,3,5\} \{1,3,6\} \\ \{1,4,5\} \{1,4,6\} \{1,5,6\} \{1,3,4,5\} \{1,3,4,6\} \\ \{1,3,5,6\} \{1,4,5,6\} \{1,3,4,5,6\}.$$

Here the one with minimum value has

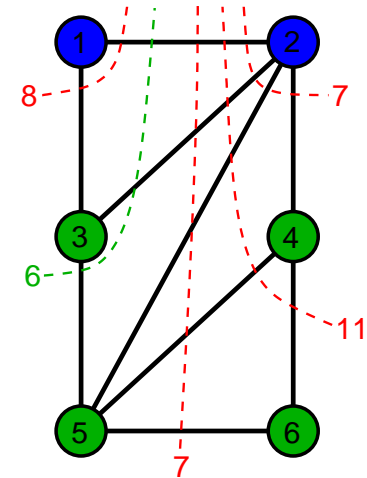
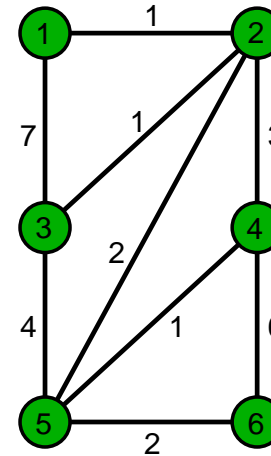
$$X_{12} = \{1,3\} \quad \text{and} \quad \bar{X}_{12} = \{2,4,5,6\}$$

with value  $4 + 1 + 1 = 6 = v_e$ , so  $\mathcal{F} = \{(X_{12}, \bar{X}_{12})\}$

# Gomory-Hu Example

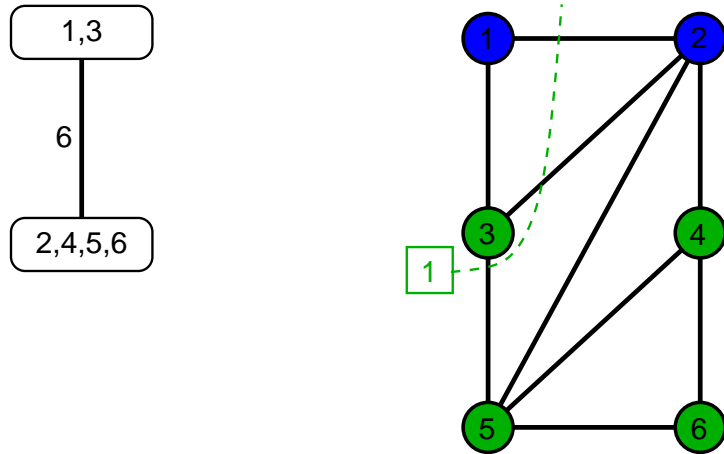
The traffic  $t_{pq}$   
(zero entries not shown)

Some values  $t(X_{12}, \bar{X}_{12})$  and  
the min for  $X_{12} = \{1,3\}$



# Gomory-Hu Example

Current partitioning of  $G$  Step 1:  $(p, q) = (1, 2)$  and  
along with  $t(X, \bar{X})$   $X_{12} = \{1, 3\}$

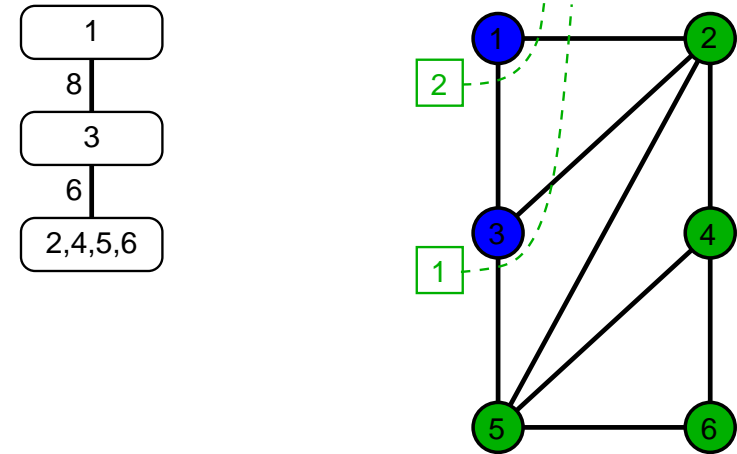


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# Gomory-Hu Example

Current partitioning of  $G$  Step 2:  $(p, q) = (1, 3)$  and  
along with  $t(X, \bar{X})$   $X_{13} = \{1\}$

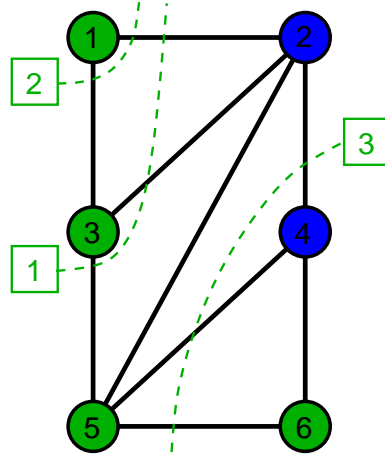
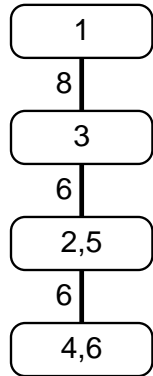


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# Gomory-Hu Example

Current partitioning of  $G$  Step 3:  $(p, q) = (2, 4)$  and  
 along with  $t(X, \bar{X})$   $X_{24} = \{1, 2, 3, 5\}$

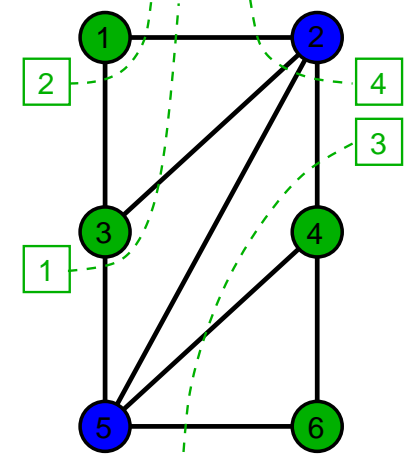
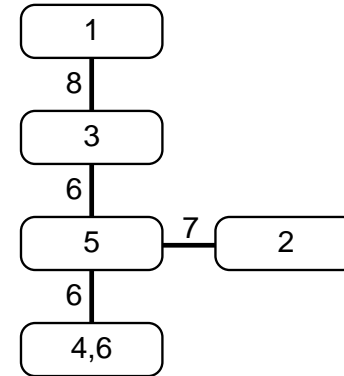


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# Gomory-Hu Example

Current partitioning of  $G$  Step 4:  $(p, q) = (2, 5)$  and  
 along with  $t(X, \bar{X})$   $X_{25} = \{1, 3, 4, 5, 6\}$

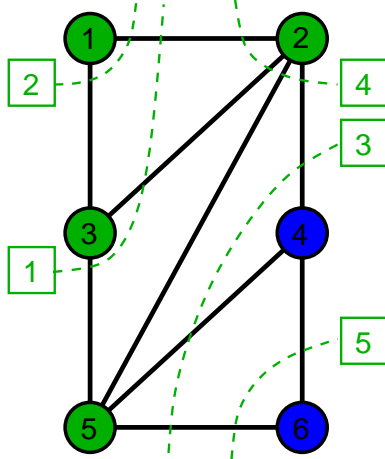
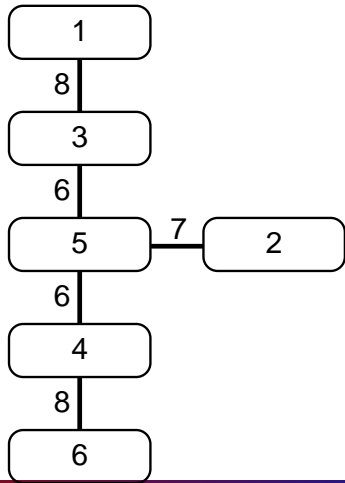


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# Gomory-Hu Example

Current partitioning of  $G$  along with  $t(X, \bar{X})$  Step 5:  $(p, q) = (4, 6)$  and  $X_{46} = \{1, 2, 3, 4, 5\}$

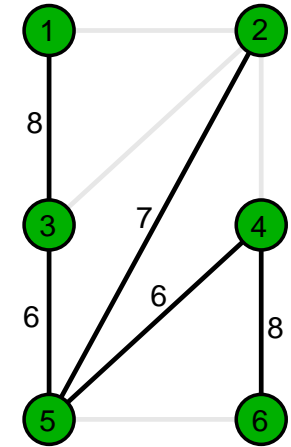
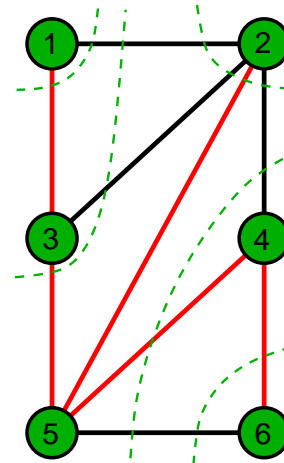


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# Gomory-Hu Example

Choose links in exactly one cutset

Final result for  $T$  also showing  $f_e = t(X, \bar{X})$



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## Gomory-Hu Example: summary

### SUMMARY:

- (a)  $\underline{1,2}$   $\mathcal{F}_1 = \{(X, \bar{X})\}$  where  $X = \{1,3\}; \bar{X} = \{2,3,5,6\}$ ,  
 $t(X, \bar{X}) = 6$ .
- (b)  $\underline{1,3}$   $\mathcal{F}_2 = \mathcal{F}_1 \cup \{(X, \bar{X})\}$  where  $X = \{1\}; \bar{X} = \{3,2,4,5,6\}$ ,  
 $t(X, \bar{X}) = 8$ .
- (c)  $\underline{2,4}$   $\mathcal{F}_3 = \mathcal{F}_2 \cup \{(X, \bar{X})\}$  where has  $X = \{4,6\}; \bar{X} = \{1,2,3,5\}$ ,  
 $t(X, \bar{X}) = 6$ .
- (d)  $\underline{2,5}$   $\mathcal{F}_4 = \mathcal{F}_3 \cup \{(X, \bar{X})\}$  where has  $X = \{2\}; \bar{X} = \{1,3,4,5,6\}$ ,  
 $t(X, \bar{X}) = 7$ .
- (e)  $\underline{4,6}$   $\mathcal{F}_5 = \mathcal{F}_4 \cup \{(X, \bar{X})\}$  where has  $X = \{6\}; \bar{X} = \{1,2,3,4,5\}$ ,  
 $t(X, \bar{X}) = 8$ .

Total cost:  $\sum_{e \in T} f_e = 8 + 6 + 7 + 6 + 8 = 36$

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## Gomory-Hu Complexity

- ▶ We have to find  $|N| - 1$  non-crossing cutsets, i.e. there will be  $O(|N|)$  steps
- ▶ each step requires minimization over all allowed cutsets
  - ▷ how do we find non-crossing cutsets?
  - ▷ Ford-Fulkerson Maximum Flow Labelling Algorithm (see Math Programming III)
    - \* max flow – min cut theorem gives the minimum cutset
  - ▷ but how do we test non-crossing (in reasonable complexity)?
    - \* non-trivial
- ▶ Gusfield's Algorithm is an alternative

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# Gusfield's Algorithm

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How can we get away from needing non-crossing cutsets?

# Gusfield's Algorithm

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**Objective:** given a graph  $G(N,E)$ , and predicted traffic  $t_{pq}$ , find a min hop tree.

**Principle:** start with a star, and break off bits that can become substars

- ▶ *WLOG* we can choose initial hub to be node 1
- ▶ another greedy algorithm
  - ▷ for each node, test to see if the network is cheaper if we break it off the main hub
  - ▷ however, it does reach the optimum
- ▶ we have a spanning tree at each step
  - ▷ use  $r(k)$  to denote the parent of node  $k$
  - ▷ because its a spanning tree, this is a unique representation



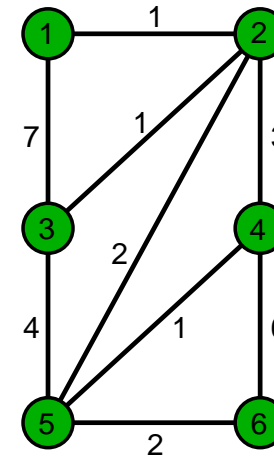
# Gusfield's Algorithm

- ▶ **Initialize:** start with the tree  $T$  being star, with node 1 as the hub, i.e.  $r(k) = 1$  for  $k = 2, 3, \dots, n$ 
  - ▷ also for each link  $(k, r(k))$  assign  $v_{k1} = 0$
- ▶ **For:**  $k = 2, 3, \dots, n$ 
  1. among all cutsets separating  $k$  from its parent  $r(k)$ , determine the cutset with smallest value of  $t(X, \bar{X})$ , i.e. choose  $(X, \bar{X})$  that solves
 
$$\min\{t(X, \bar{X}) \mid k \in X, r(k) \in \bar{X}\}$$
  2. assign  $v_e = t(X, \bar{X})$  to the link  $e = (k, r(k)) \in T$
  3. **For:**  $i = 2, 3, \dots, n$ 
    - ▷ if  $i \in X$  and  $i \neq k$  and  $(i, r(k)) \in T$
    - ▷ then replace link  $(i, r(k))$  in  $T$  by  $(i, k)$  with value equal to the old link, e.g.  $v_{ik} = v_{i,r(k)}$

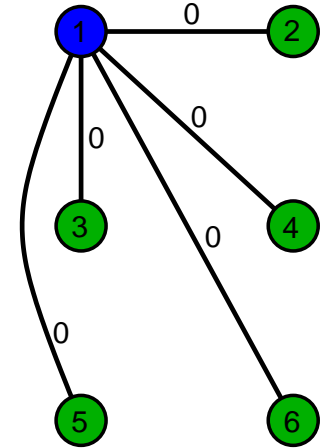
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# Gusfield's Algorithm Example

The traffic  $t_{pq}$   
(zero entries not shown)



Initial star network  
also showing  $v_{k1}$



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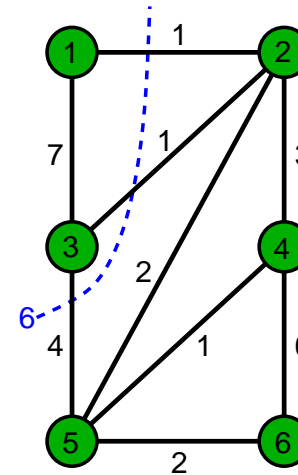
# Gusfield's Algorithm Example

Iteration 1:  $k = 2$

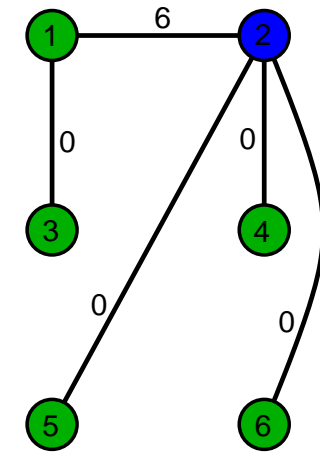
- ▶  $r(k) = 1$ , so we find minimal cutset that separates node 2 from node 1
- ▶ this is just the same as step 1 of G-H, and so the minimal cutset is  $X = \{2, 4, 5, 6\}$  and  $\bar{X} = \{1, 3\}$
- ▶  $v_{2,1} = t(X, \bar{X}) = 6$
- ▶ for  $i \in X = \{2, 4, 5, 6\}$ , we get  $i \neq k$  and  $i \in X$  for  $i = 4, 5, 6$
- ▶ for  $i = 4, 5, 6$ , check whether  $e = (i, r(k)) \in T$ , e.g.
  - $(4, 1) \in T$ , so set  $r(4) = k = 2$
  - $(5, 1) \in T$ , so set  $r(5) = k = 2$
  - $(6, 1) \in T$ , so set  $r(6) = k = 2$

# Gusfield's Algorithm Example

The traffic  $t_{pq}$   
and the first cutset



Iteration 1:  $k = 2$   
also showing values



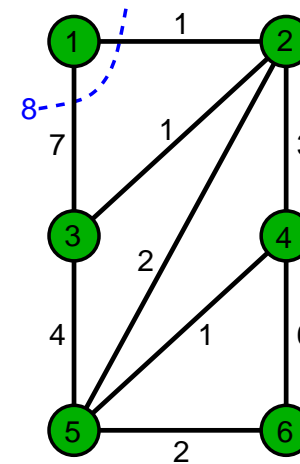
# Gusfield's Algorithm Example

Iteration 2:  $k = 3$

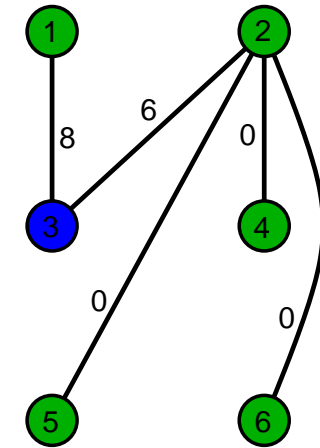
- ▶  $r(k) = 1$ , so we find minimal cutset that separates node 3 from node 1
- ▶ this is just the same as step 2 of G-H, and so the minimal cutset is  $X = \{2, 3, 4, 5, 6\}$  and  $\bar{X} = \{1\}$
- ▶  $v_{3,1} = t(X, \bar{X}) = 8$
- ▶ for  $i \in X = \{2, 3, 4, 5, 6\}$ , we get  $i \neq k$  and  $i \in X$  for  $i = 2, 4, 5, 6$
- ▶ for  $i = 2, 4, 5, 6$ , check whether  $e = (i, r(k)) \in T$ , e.g.
  - $(2, 1) \in T$ , so set  $r(2) = k = 3$
  - $(4, 1) \notin T$ , so take no action
  - $(5, 1) \notin T$ , so take no action
  - $(6, 1) \notin T$ , so take no action

# Gusfield's Algorithm Example

The traffic  $t_{pq}$   
and the second cutset



Iteration 2:  $k = 3$   
also showing values



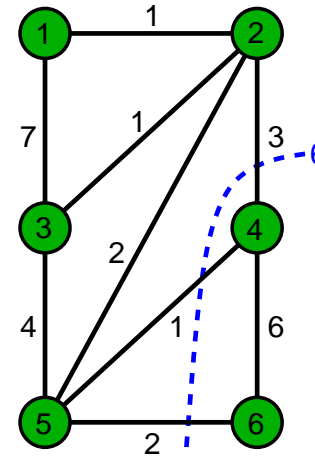
# Gusfield's Algorithm Example

Iteration 3:  $k = 4$

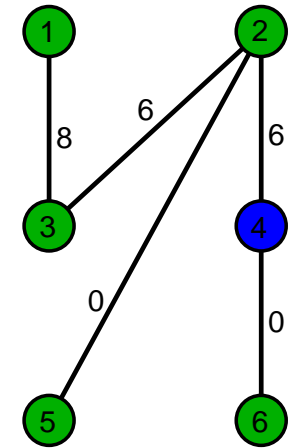
- ▶  $r(k) = 2$ , so we find minimal cutset that separates node 4 from node 2
- ▶ minimal cutset is  $X = \{4, 6\}$  and  $\bar{X} = \{1, 2, 3, 5\}$
- ▶  $v_{4,2} = t(X, \bar{X}) = 6$
- ▶ for  $i \in X = \{4, 6\}$ , we get  $i \neq k$  and  $i \in X$  for  $i = 6$
- ▶ for  $i = 6$ , check whether  $e = (i, r(k)) \in T$ , e.g.  
 $(6, 2) \in T$ , so set  $r(6) = k = 4$

# Gusfield's Algorithm Example

The traffic  $t_{pq}$   
and the third cutset



Iteration 3:  $k = 4$   
also showing values



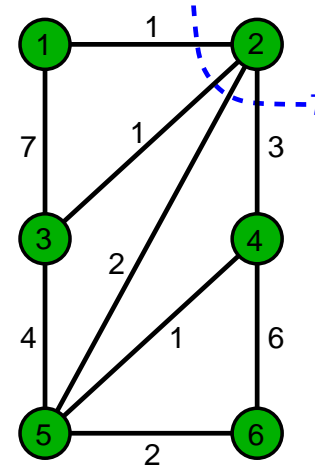
# Gusfield's Algorithm Example

Iteration 4:  $k = 5$

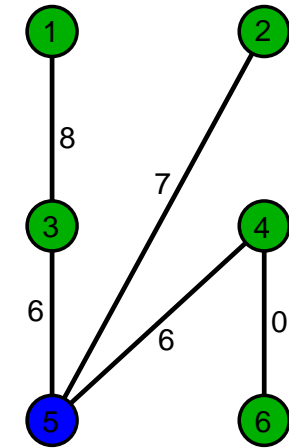
- ▶  $r(k) = 2$ , so we find minimal cutset that separates node 5 from node 2
- ▶ minimal cutset is  $X = \{1, 3, 4, 5, 6\}$  and  $\bar{X} = \{2\}$
- ▶  $v_{5,2} = t(X, \bar{X}) = 7$
- ▶ for  $i \in X = \{1, 3, 4, 5, 6\}$ , we get  $i \neq k$  and  $i \in X$  for  $i = 1, 3, 4, 6$
- ▶ for  $i = 1, 3, 4, 6$ , check whether  $e = (i, r(k)) \in T$ , e.g.
  - $(1, 2) \notin T$ , so no action
  - $(3, 2) \in T$ , so set  $r(3) = k = 5$
  - $(4, 2) \in T$ , so set  $r(4) = k = 5$
  - $(6, 2) \notin T$ , so no action

# Gusfield's Algorithm Example

The traffic  $t_{pq}$   
and the forth cutset



Iteration 4:  $k = 5$   
also showing values



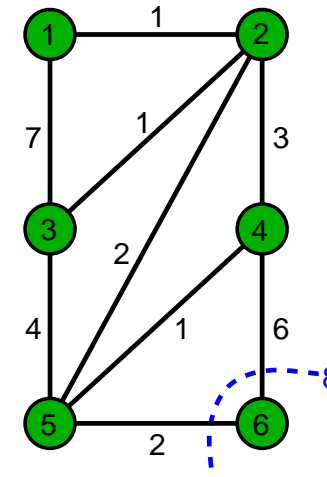
# Gusfield's Algorithm Example

Iteration 5:  $k = 6$

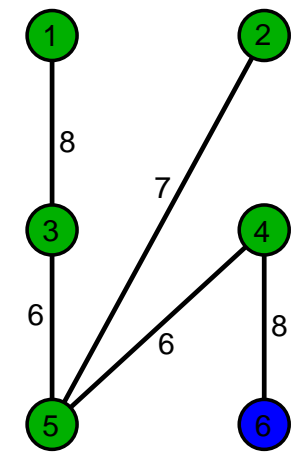
- ▶  $r(k) = 4$ , so we find minimal cutset that separates node 6 from node 4
- ▶ minimal cutset is  $X = \{6\}$  and  $\bar{X} = \{1, 2, 3, 4, 5\}$
- ▶  $v_{6,4} = t(X, \bar{X}) = 8$
- ▶ for  $i \in X = \{6\}$ , we get  $i \neq k$  and  $i \in X$  for no values of  $i$
- ▶ so there are no changes to the links

# Gusfield's Algorithm Example

The traffic  $t_{pq}$   
and the fifth cutset



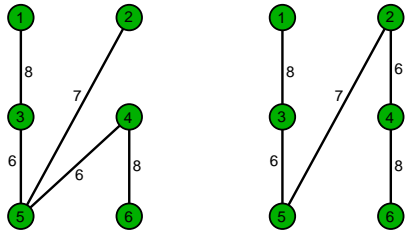
Iteration 5:  $k = 6$   
also showing values



# Gusfield's Algorithm Example

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- ▶ Final result is the same as for Gomory-Hu, which we expect
  - ▷ didn't need to look for non-crossing cutsets
- ▶ actually we could have used different cutsets
  - ▷ get a different tree
  - ▷ same cost though
  - ▷ non-unique solution to this particular problem



Communications Network Design: lecture 17 – p.46/47

## References

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Communications Network Design: lecture 17 – p.47/47